

# Analysing Mutual Exclusion using Process Algebra with Signals

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# Overview

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Which minimal extension of CCS do we need?

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What makes a mutual exclusion protocol correct?

Do correct mutual exclusion protocols exist?

Can a correct mutual exclusion protocol be modelled in standard process algebras like CCS?

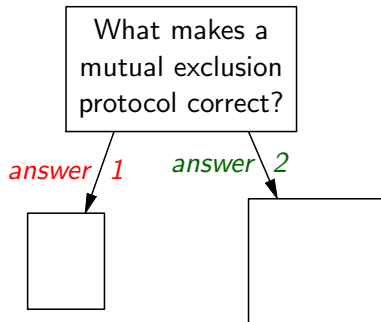
Which minimal extension of CCS do we need?

# Disclaimer

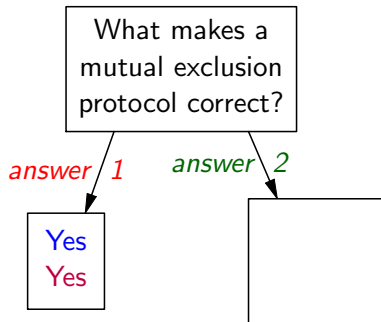
This talk is about **concurrent** systems.

We may assume nothing about the relative speed of parallel components.

Do correct mutual exclusion protocols exist?  
and can they be modelled in CCS?



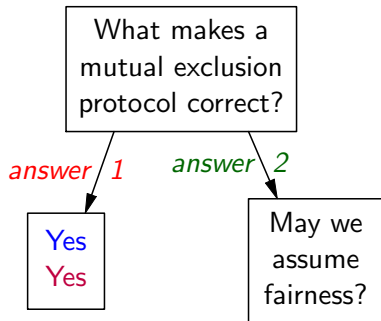
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Peterson

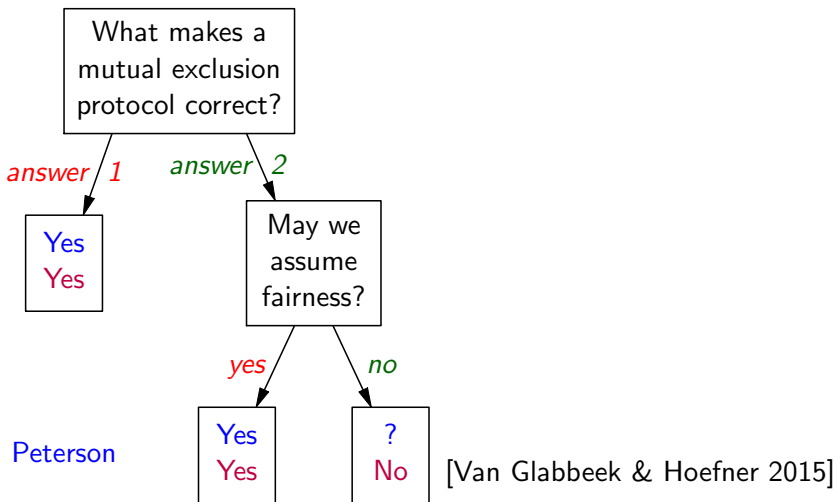


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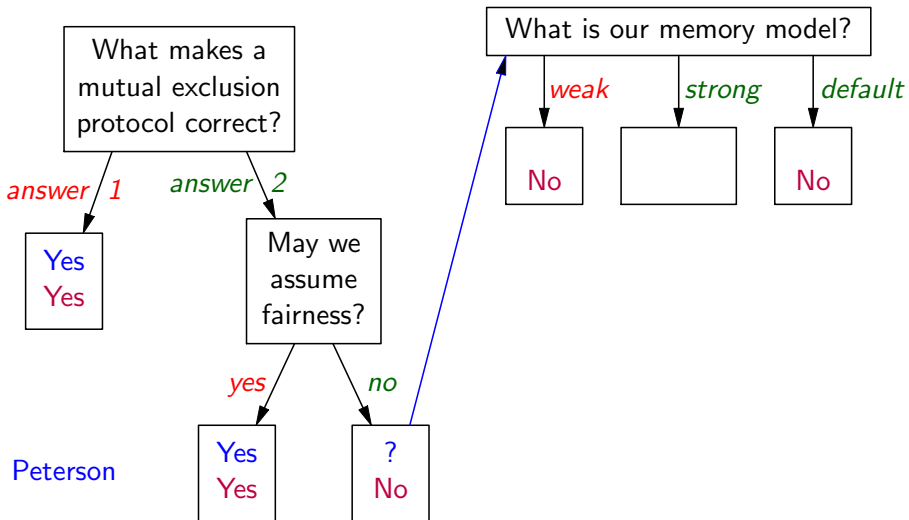


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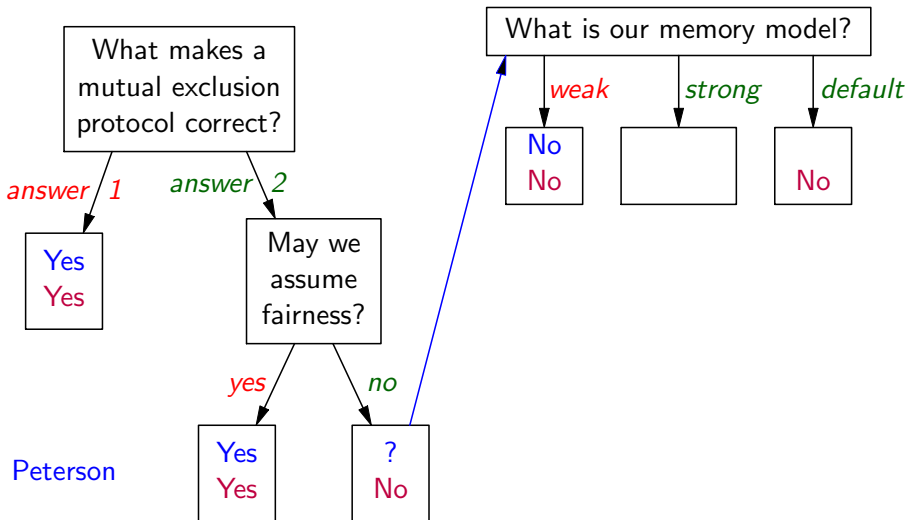
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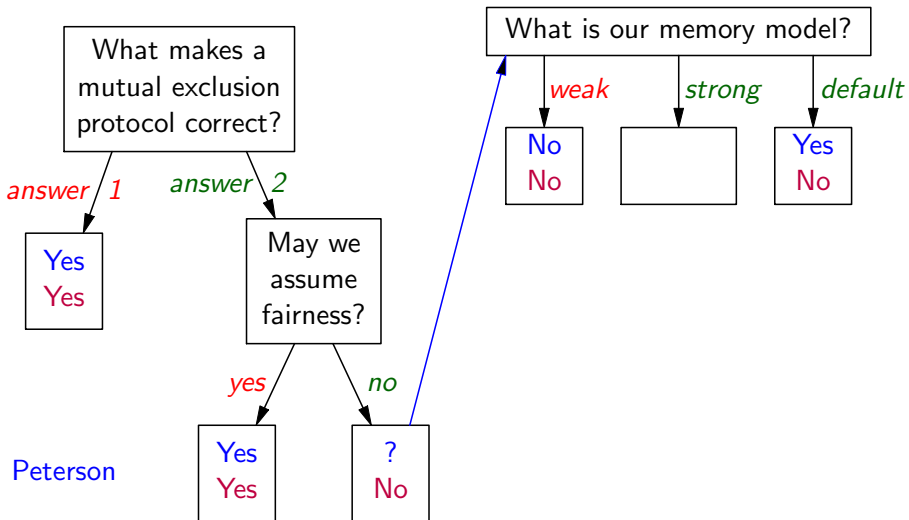
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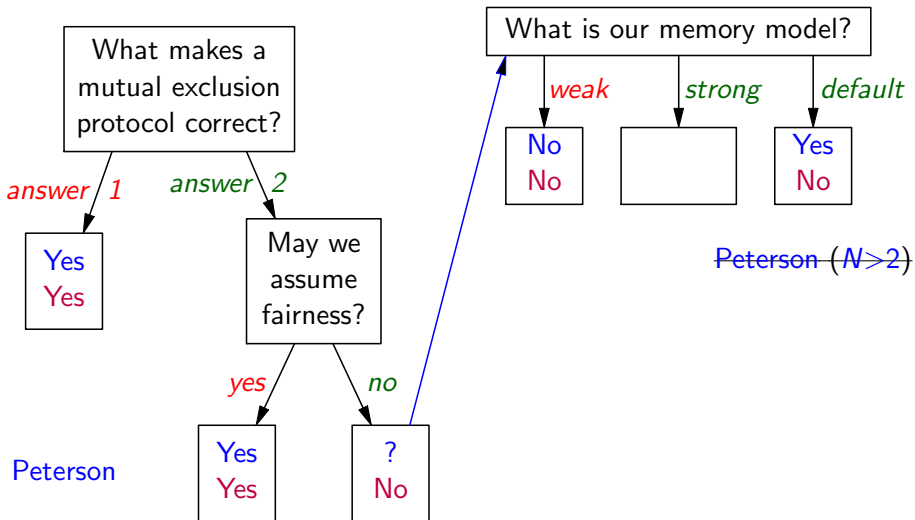
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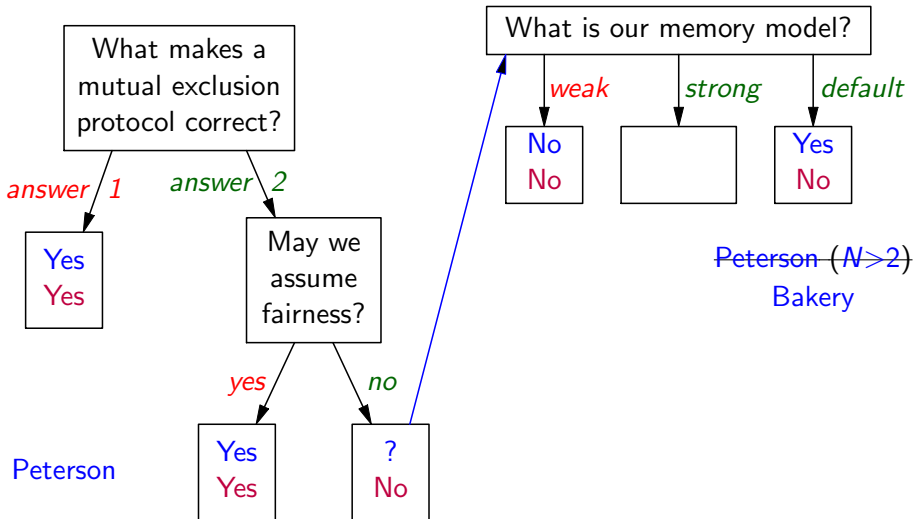
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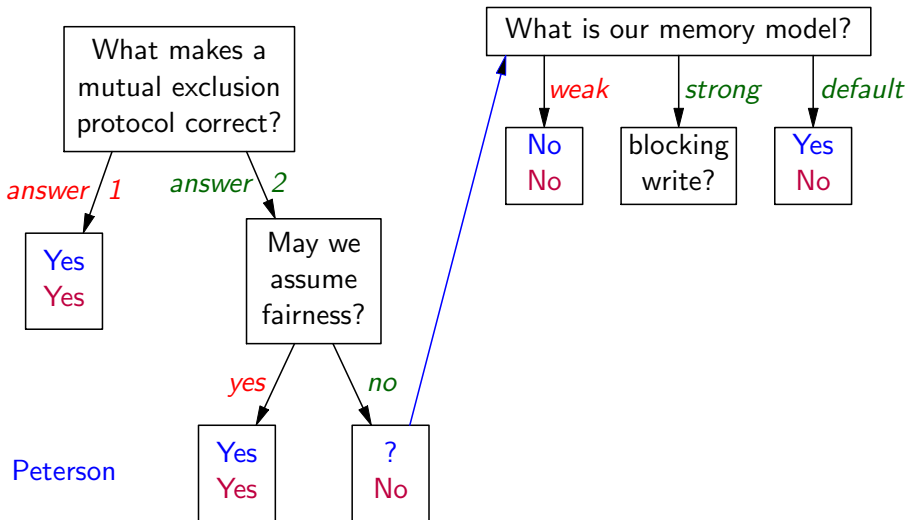
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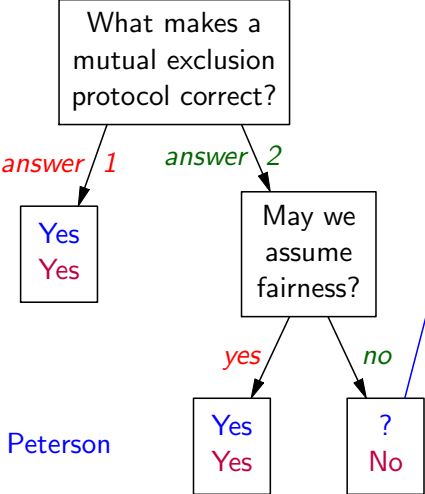


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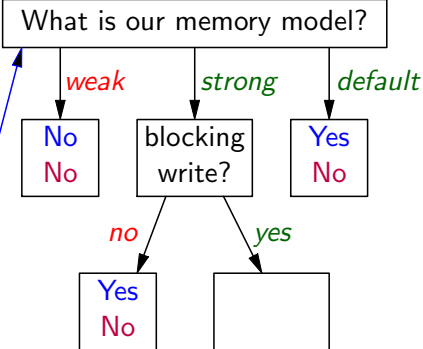




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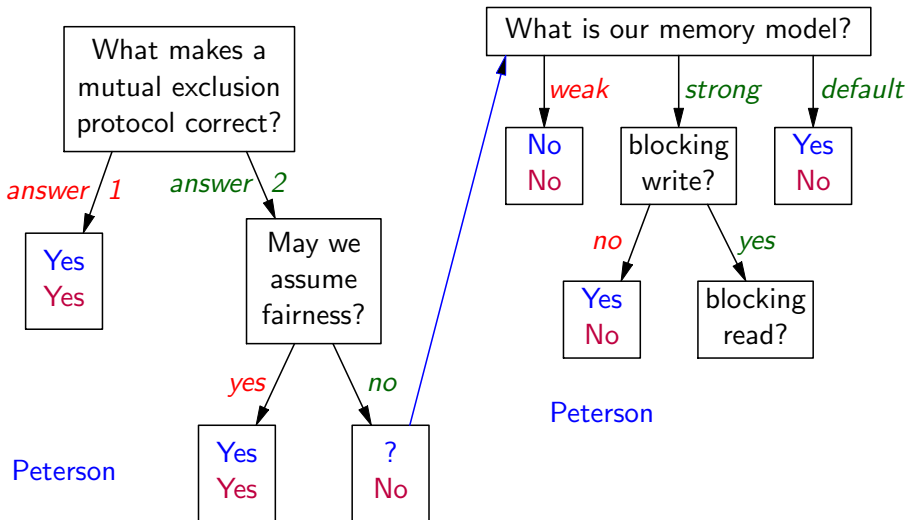


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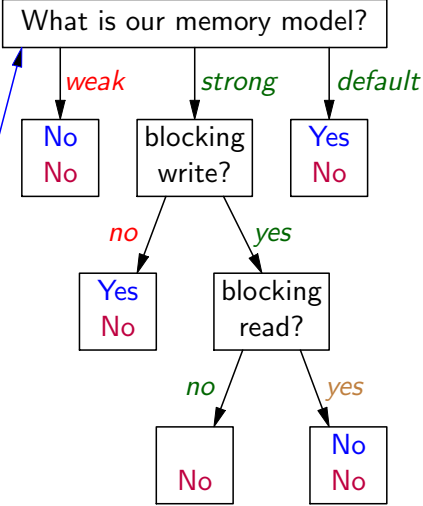
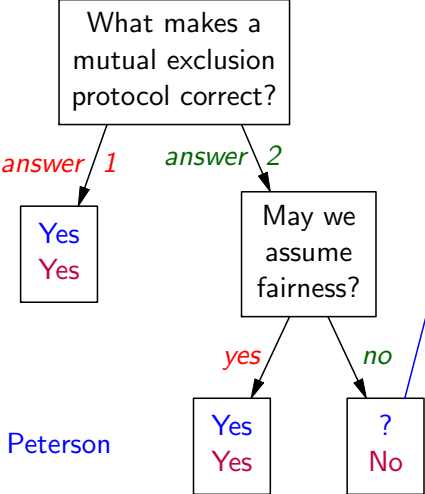


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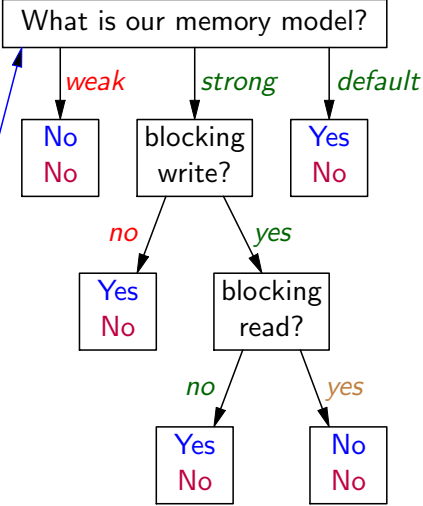
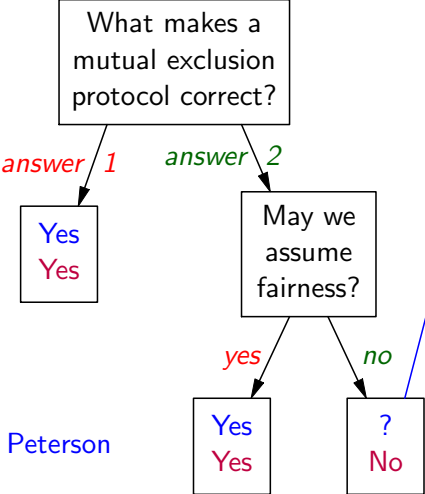
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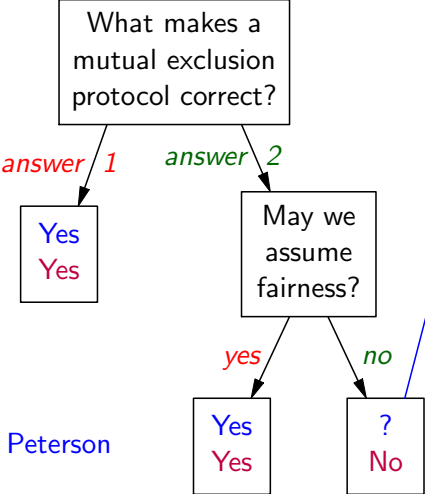
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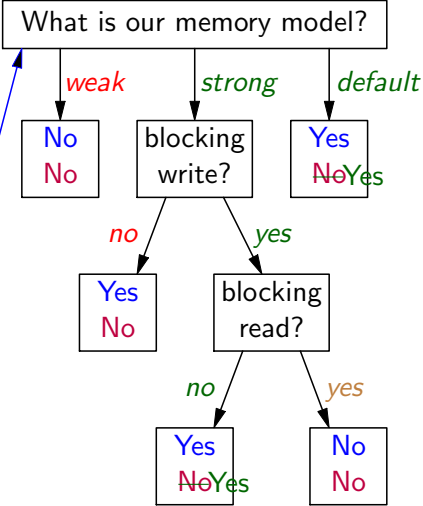
# Do correct mutual exclusion protocols exist? and can they be modelled in CCS?



# Do correct mutual exclusion protocols exist? and can they be modelled in CCS with signals?



Peterson



# Correctness of Mutex Protocols

Process $[i]$

repeat forever

enter noncritical section $[i]$

exit noncritical section $[i]$

$ready[i] := true$

... [trying]

entry protocol

(doorway)

enter critical section $[i]$

exit critical section $[i]$

...

$ready[i] := false$

exit protocol

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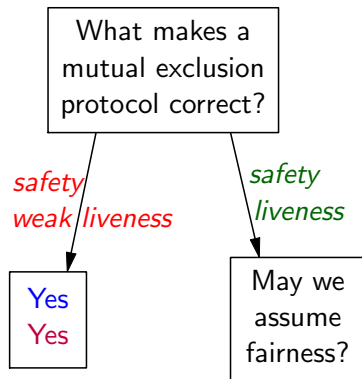
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**Liveness:** In each run **exit-noncrit**[ $i$ ] is followed by **enter-crit**[ $i$ ].

**Weak liveness:** In each run  $ready[i]=true$  is followed by **enter-crit**[ $i$ ].

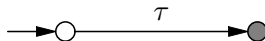
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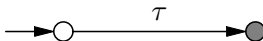
# Progress, Justness and Fairness

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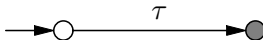


Justness or local progress: If a combination of components in a parallel composition is in a state admitting a non-blocking action, then one (or more) of them will eventually partake in an action.



# Progress, Justness and Fairness

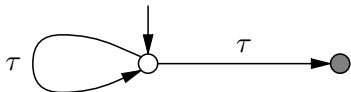
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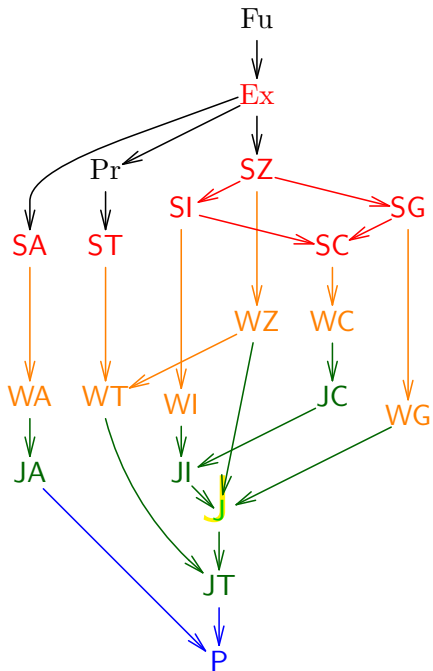
Justness or local progress: If a combination of components in a parallel composition is in a state admitting a non-blocking action, then one (or more) of them will eventually partake in an action.



Weak fairness: If (from some point onwards) a task is enabled perpetually, then it will eventually occur.



Strong fairness: If (from some point onwards) a task is enabled infinity often, then it will eventually occur.



# Fairness hierarchy

strong fairness



weak fairness



justness



progress



# Fairness hierarchy

strong fairness



weak fairness



justness

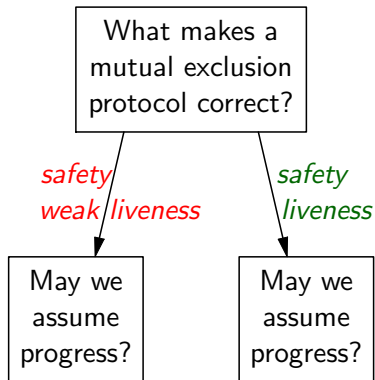


progress

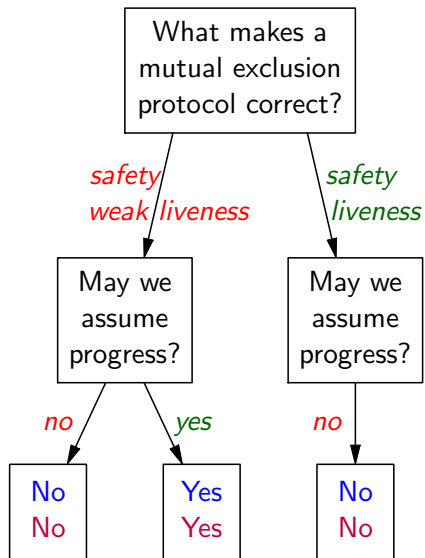
**Liveness:** In each run **exit-noncrit**[ $i$ ] is followed by **enter-crit**[ $i$ ].

The stronger our progress/justness/fairness assumption, the fewer paths counts as runs, and the more likely it is that **Liveness** holds.

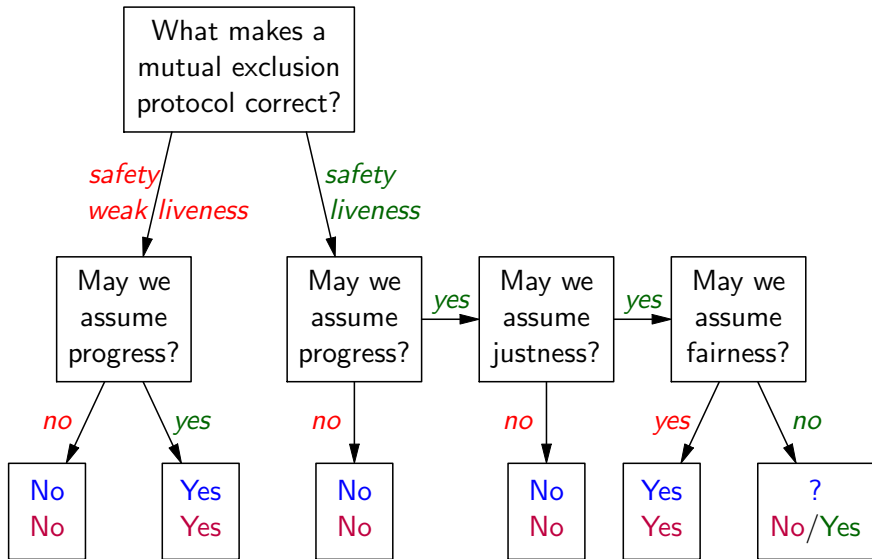
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# Peterson's mutual exclusion protocol

## Process A

repeat forever

$$\left\{ \begin{array}{l} \ell_1 \text{ noncritical section} \\ \ell_2 \text{ readyA} := \text{true} \\ \ell_3 \text{ turn} := B \\ \ell_4 \text{ await } (\text{readyB} = \text{false} \vee \text{turn} = A) \\ \ell_5 \text{ critical section} \\ \ell_6 \text{ readyA} := \text{false} \end{array} \right.$$

## Process B

repeat forever

$$\left\{ \begin{array}{l} m_1 \text{ noncritical section} \\ m_2 \text{ readyB} := \text{true} \\ m_3 \text{ turn} := A \\ m_4 \text{ await } (\text{readyA} = \text{false} \vee \text{turn} = B) \\ m_5 \text{ critical section} \\ m_6 \text{ readyB} := \text{false} \end{array} \right.$$

*Peterson's algorithm (pseudocode)*

The Processes A and B can be modelled as

$$\begin{aligned} A &\stackrel{\text{def}}{=} \text{noncritA} \cdot \overline{\text{asgn}_{\text{readyA}}^{\text{true}}} \cdot \overline{\text{asgn}_{\text{turn}}^{\text{B}}} \cdot (n_{\text{readyB}}^{\text{false}} + n_{\text{turn}}^{\text{A}}) \cdot \text{critA} \cdot \overline{\text{asgn}_{\text{readyA}}^{\text{false}}} \cdot A, \\ B &\stackrel{\text{def}}{=} \text{noncritB} \cdot \overline{\text{asgn}_{\text{readyB}}^{\text{true}}} \cdot \overline{\text{asgn}_{\text{turn}}^{\text{A}}} \cdot (n_{\text{readyA}}^{\text{false}} + n_{\text{turn}}^{\text{B}}) \cdot \text{critB} \cdot \overline{\text{asgn}_{\text{readyB}}^{\text{false}}} \cdot B, \end{aligned}$$

The variable *turn*:

$$\text{Turn}^A \stackrel{\text{def}}{=} \text{asgn}_{\text{turn}}^{\text{A}} \cdot \text{Turn}^A + \text{asgn}_{\text{turn}}^{\text{B}} \cdot \text{Turn}^B + \overline{n_{\text{turn}}^{\text{A}}} \cdot \text{Turn}^A$$

# The problem

ReadyA = false  
ReadyB = false  
Turn = A

## Process A

repeat forever

→ {  $l_1$  noncritical section  
 $l_2$   $readyA := true$   
 $l_3$   $turn := B$   
 $l_4$  **await** ( $readyB = false \vee turn = A$ )  
 $l_5$  **critical section**  
 $l_6$   $readyA := false$

## Process B

repeat forever

→ {  $m_1$  noncritical section  
 $m_2$   $readyB := true$   
 $m_3$   $turn := A$   
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Here B is blocked  
But the combination {turn,A} can take  
an action so one of them has to take  
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The only possible action is that A reads  
turn = A

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 $m_4$  **await** ( $readyA = false \vee turn = B$ )  
 $m_5$  **critical section**  
 $m_6$   $readyB := false$

# The problem

ReadyA = false  
ReadyB = false  
Turn = A

## Process A

repeat forever

→ {  $l_1$  noncritical section  
 $l_2$   $readyA := true$   
 $l_3$   $turn := B$   
 $l_4$  **await** ( $readyB = false \vee turn = A$ )  
 $l_5$  **critical section**  
 $l_6$   $readyA := false$

## Process B

repeat forever

→ {  $m_1$  noncritical section  
 $m_2$   $readyB := true$   
 $m_3$   $turn := A$   
 $m_4$  **await** ( $readyA = false \vee turn = B$ )  
 $m_5$  **critical section**  
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 $m_5$  **critical section**  
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 $m_3$   $turn := A$   
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 $m_5$  **critical section**  
 $m_6$   $readyB := false$

# The problem

ReadyA = false  
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repeat forever

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 $l_2$   $readyA := true$   
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 $m_5$  **critical section**  
 $m_6$   $readyB := false$

# The problem

ReadyA = false  
ReadyB = true  
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## Process A

repeat forever

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 $l_2$   $readyA := true$   
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 $m_4$  **await** ( $readyA = false \vee turn = B$ )  
 $m_5$  **critical section**  
 $m_6$   $readyB := false$

# The problem

```
ReadyA = false  
ReadyB = true  
Turn = A
```

## Why is it possible ?

Because A cannot progress by itself,  
it must communicate with ReadyA.

The combination {ReadyA,A} can take  
an action.

Justness says : at least one of them has  
to take an action.

### Process A

repeat forever

→ {  $l_1$  noncritical section  
 $l_2$   $readyA := true$   
 $l_3$   $turn := B$   
 $l_4$  **await** ( $readyB = false \vee turn = A$ )  
 $l_5$  **critical section**  
 $l_6$   $readyA := false$

### Process B

repeat forever

→ {  $m_1$  noncritical section  
 $m_2$   $readyB := true$   
 $m_3$   $turn := A$   
 $m_4$  **await** ( $readyA = false \vee turn = B$ )  
 $m_5$  **critical section**  
 $m_6$   $readyB := false$



# The problem

```
ReadyA = false  
ReadyB = true  
Turn = A
```

## Why is it possible ?

Justness says : at least one of  $\{\text{ReadyA}, A\}$  has to take an action.

But in our scenario  $\{\text{ReadyA}\}$  takes an action (communication with B at line m4).

The scenario is just. But liveness fails.

### Process A

repeat forever

→ {  $l_1$  noncritical section  
 $l_2$   $readyA := true$   
 $l_3$   $turn := B$   
 $l_4$  **await** ( $readyB = false \vee turn = A$ )  
 $l_5$  **critical section**  
 $l_6$   $readyA := false$

### Process B

repeat forever

→ {  $m_1$  noncritical section  
 $m_2$   $readyB := true$   
 $m_3$   $turn := A$   
 $m_4$  **await** ( $readyA = false \vee turn = B$ )  
 $m_5$  **critical section**  
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## CCS with signals

$E ::= 0 \mid \alpha.P \mid P + Q \mid P|Q \mid P \setminus L \mid P[f] \mid A$

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# CCS with signals

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$$\alpha.P \xrightarrow{\alpha} P$$

$$\frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'}$$

$$\frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} Q'}$$

$$\frac{P \xrightarrow{\alpha} P'}{P|Q \xrightarrow{\alpha} P'|Q}$$

$$\frac{P \xrightarrow{a} P', Q \xrightarrow{\bar{a}} Q'}{P|Q \xrightarrow{\tau} P'|Q'}$$

$$\frac{Q \xrightarrow{\alpha} Q'}{P|Q \xrightarrow{\alpha} P|Q'}$$

$$\frac{P \xrightarrow{\alpha} P'}{P \setminus L \xrightarrow{\alpha} P' \setminus L} \quad (\alpha, \bar{\alpha} \notin L)$$

$$\frac{P \xrightarrow{\alpha} P'}{P[f] \xrightarrow{f(\alpha)} P'[f]}$$

$$\frac{P \xrightarrow{\alpha} P'}{A \xrightarrow{\alpha} P'} \quad (A \stackrel{\text{def}}{=} P)$$

# CCS with signals

$E ::= 0 \mid \alpha.P \mid P + Q \mid P|Q \mid P \setminus L \mid P[f] \mid A \mid E \hat{s}$

$\alpha.P \xrightarrow{\alpha} P$	$\frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'}$	$\frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} Q'}$
$\frac{P \xrightarrow{\alpha} P'}{P Q \xrightarrow{\alpha} P' Q}$	$\frac{P \xrightarrow{a} P', Q \xrightarrow{\bar{a}} Q'}{P Q \xrightarrow{\tau} P' Q'}$	$\frac{Q \xrightarrow{\alpha} Q'}{P Q \xrightarrow{\alpha} P Q'}$
$\frac{P \xrightarrow{\alpha} P'}{P \setminus L \xrightarrow{\alpha} P' \setminus L} \quad (\alpha, \bar{\alpha} \notin L)$	$\frac{P \xrightarrow{\alpha} P'}{P[f] \xrightarrow{f(\alpha)} P'[f]}$	$\frac{P \xrightarrow{\alpha} P'}{A \xrightarrow{\alpha} P'} \quad (A \stackrel{\text{def}}{=} P)$

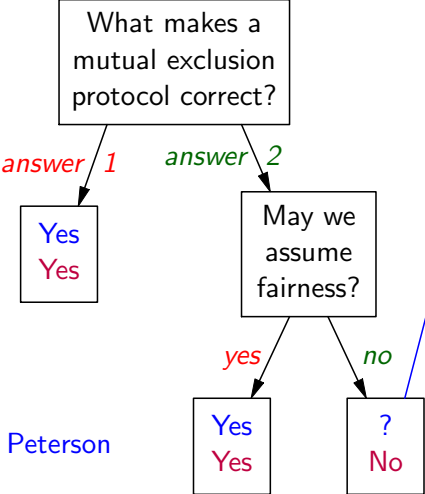
$\frac{P \xrightarrow{\alpha} P'}{P \hat{s} \xrightarrow{\alpha} P'}$	$(P \hat{s}) \hat{s}$	$\frac{P \hat{s}}{(P \hat{t}) \hat{s}}$	$\frac{P \hat{s}}{(P + Q) \hat{s}}$	$\frac{Q \hat{s}}{(P + Q) \hat{s}}$
$\frac{P \hat{s}}{(P Q) \hat{s}}$	$\frac{P \hat{s}, Q \xrightarrow{s} Q'}{P Q \xrightarrow{\tau} P Q'}$	$\frac{P \xrightarrow{s} P', Q \hat{s}}{P Q \xrightarrow{\tau} P' Q}$	$\frac{Q \hat{s}}{(P Q) \hat{s}}$	
$\frac{P \hat{s}}{(P \setminus L) \hat{s}} \quad (s \notin L)$	$\frac{P \hat{s}}{P[f] \hat{s} f(s)}$	$\frac{P \hat{s}}{A \hat{s}} \quad (A \stackrel{\text{def}}{=} P)$		

# Modelling variables in CCS

$$\text{Turn}^A \stackrel{\text{def}}{=} \text{asgn}_{\text{turn}}^A \cdot \text{Turn}^A + \text{asgn}_{\text{turn}}^B \cdot \text{Turn}^B + \overline{n_{\text{turn}}^A} \cdot \text{Turn}^A$$

$$\text{Turn}^A \stackrel{\text{def}}{=} (\text{asgn}_{\text{turn}}^A \cdot \text{Turn}^A + \text{asgn}_{\text{turn}}^B \cdot \text{Turn}^B)^{\wedge n_{\text{turn}}^A}$$

# Do correct mutual exclusion protocols exist? and can they be modelled in CCS with signals?



Peterson

